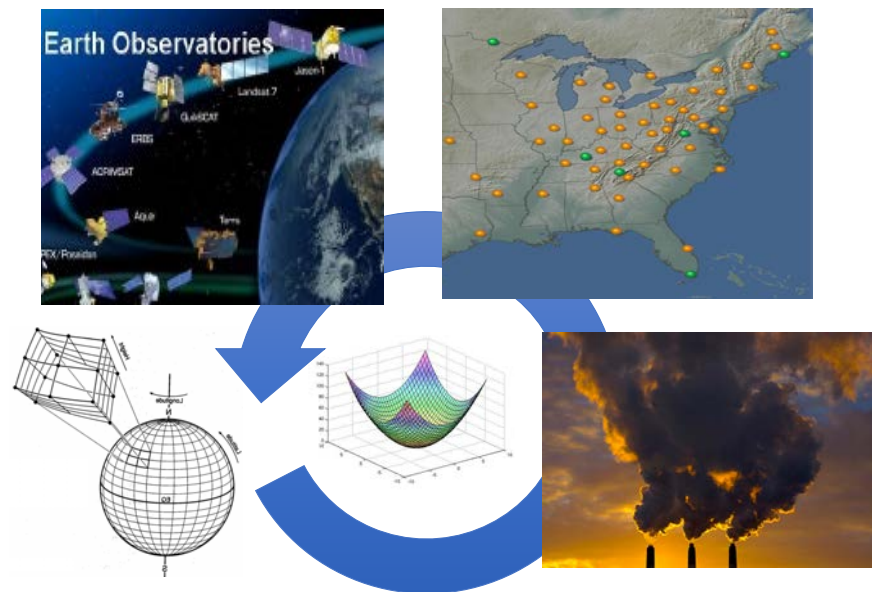


Tracking PM_{2.5}: How Models and Remote Sensing can be Used to Estimate Global Health Impacts of Ambient Fine Particulate Matter (PM_{2.5})



Daven K. Henze
University of Colorado, Boulder

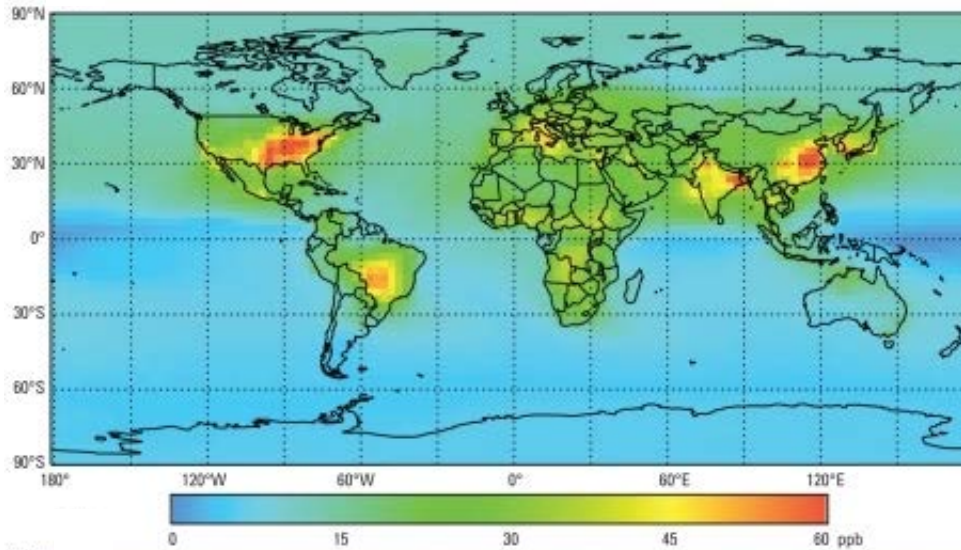
Colin Lee, Aaron van Donkelaar, Randall Martin (Dalhousie/Wash U), Forrest Lacey (NCAR), Susan Anenberg (GWU), Chris Malley (SEI), Hongyan Zhao (Tsinghua U.), X. Jin (Columbia U.)

Funding: CCAC, NASA HAQAST

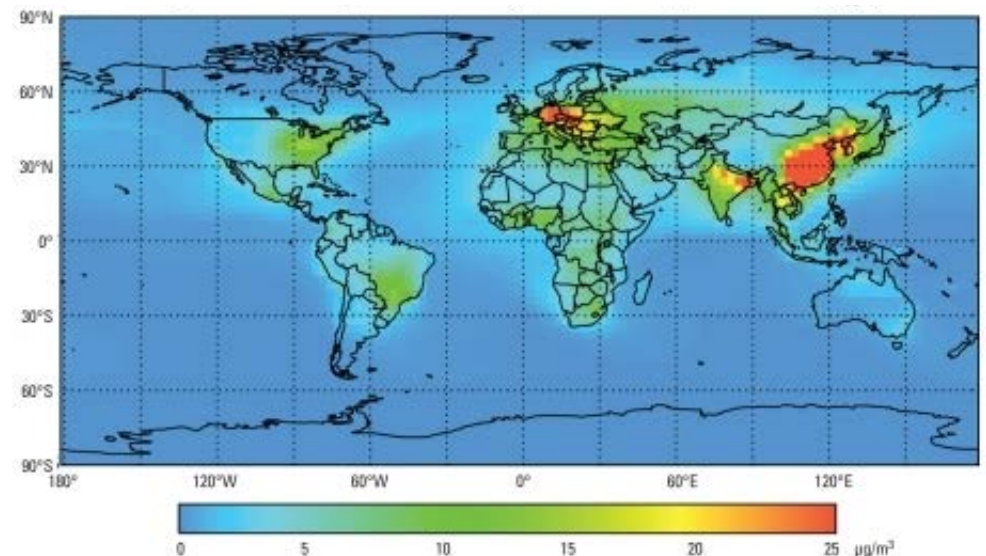
First global estimates of anthropogenic impacts on human health

Exposure estimated using model simulations alone (MOZART, 2.8° x 2.8°, Horowitz et al., 2006)

O₃



PM_{2.5}

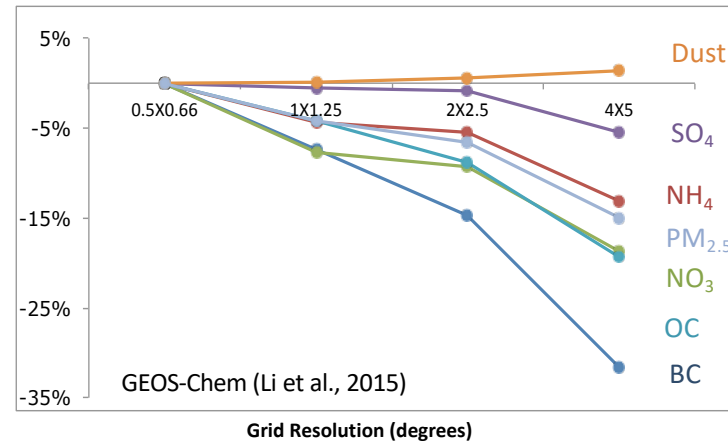
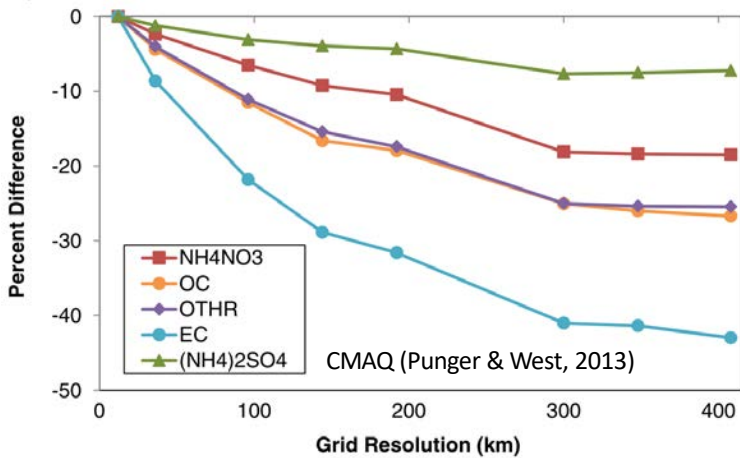
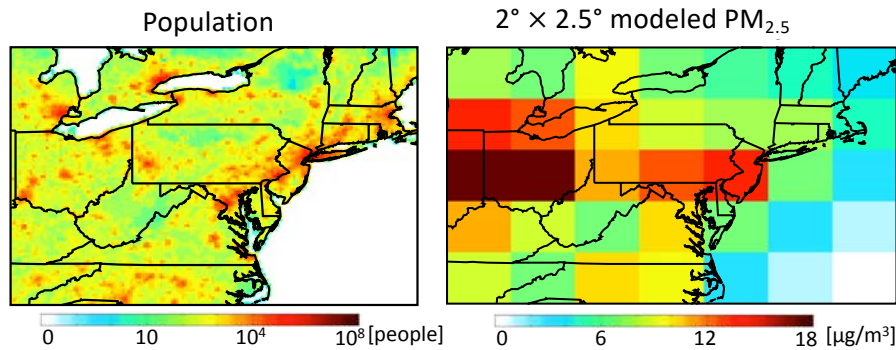


0.7 million from O₃ and 3.7 million from PM_{2.5} (Anenberg et al., EHP, 2010)

Significantly more than previous estimates of 0.8 million from Cohen et al. (2004) based on urban PM monitoring

Lingering questions: is the model correct? is the resolution sufficient?

Global-scale models struggle to estimate exposure (concentration x population)

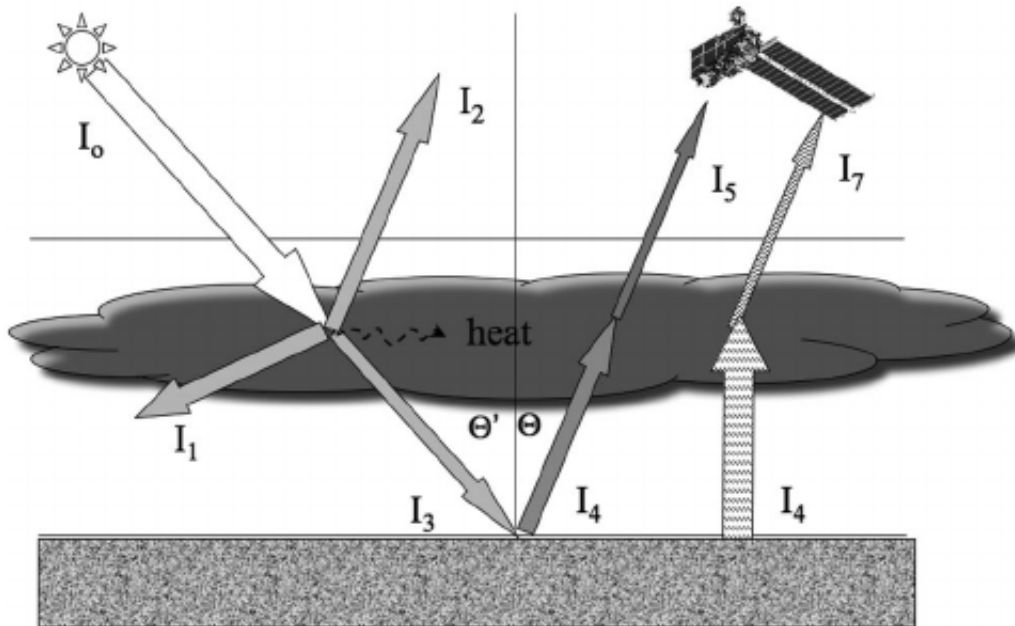


Individual components of PM_{2.5} exposure underestimated by 5-40% in a 2°x2.5° simulation over the US.
Resolution error **not** globally heterogeneous.

Satellite-derived estimates of PM_{2.5}

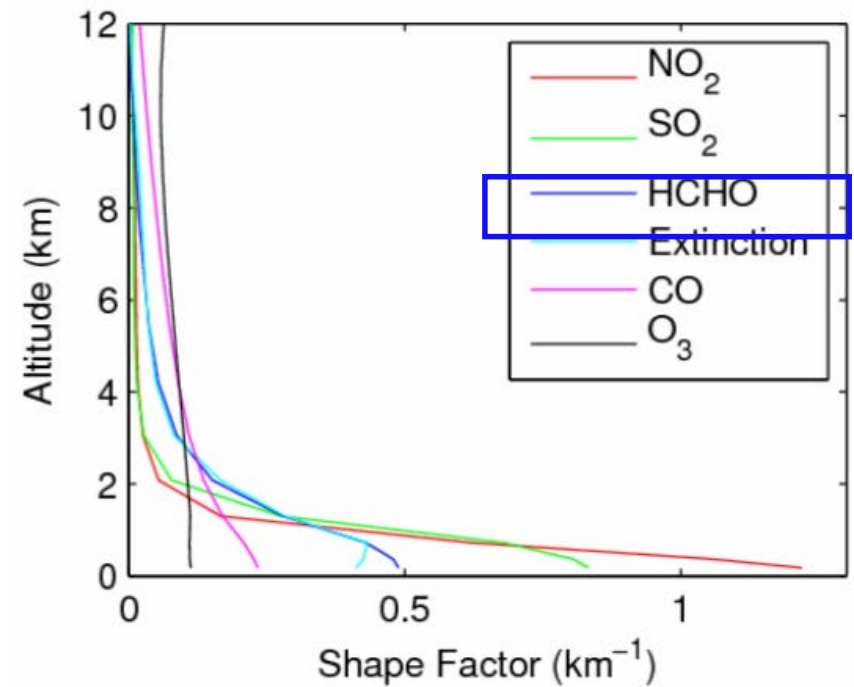
Aerosol optical depth (AOD) or Aerosol Optical Thickness derived from measurements of short-wave radiation

Sources of radiation seen by a satellite:



Hoff and Christopher (2009)

Vertical distribution of AQ components



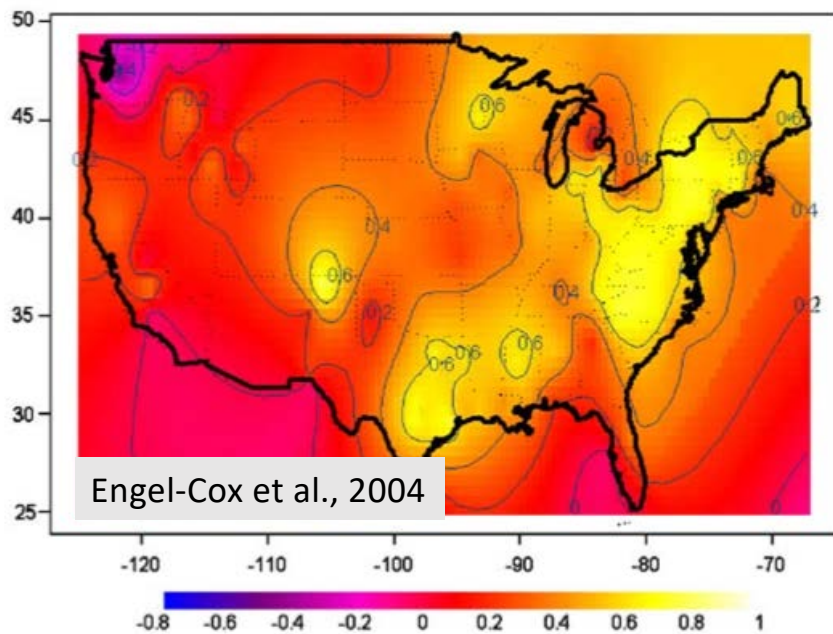
Martin (2008)

Concern: how closely linked are these to PM_{2.5} concentrations at surface level?

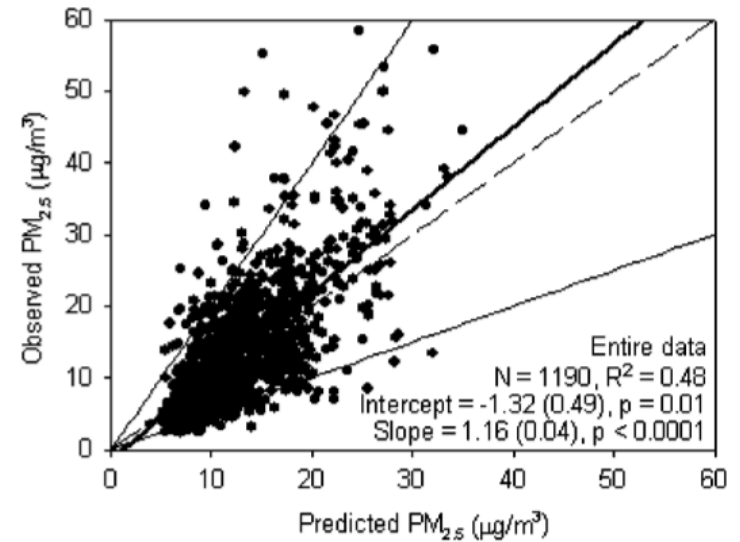
Satellite-derived estimates of PM_{2.5}

Statistical relationship between observed PM_{2.5} and AOD

Correlations between AOD and PM_{2.5}(hourly)



Liu et al., 2005

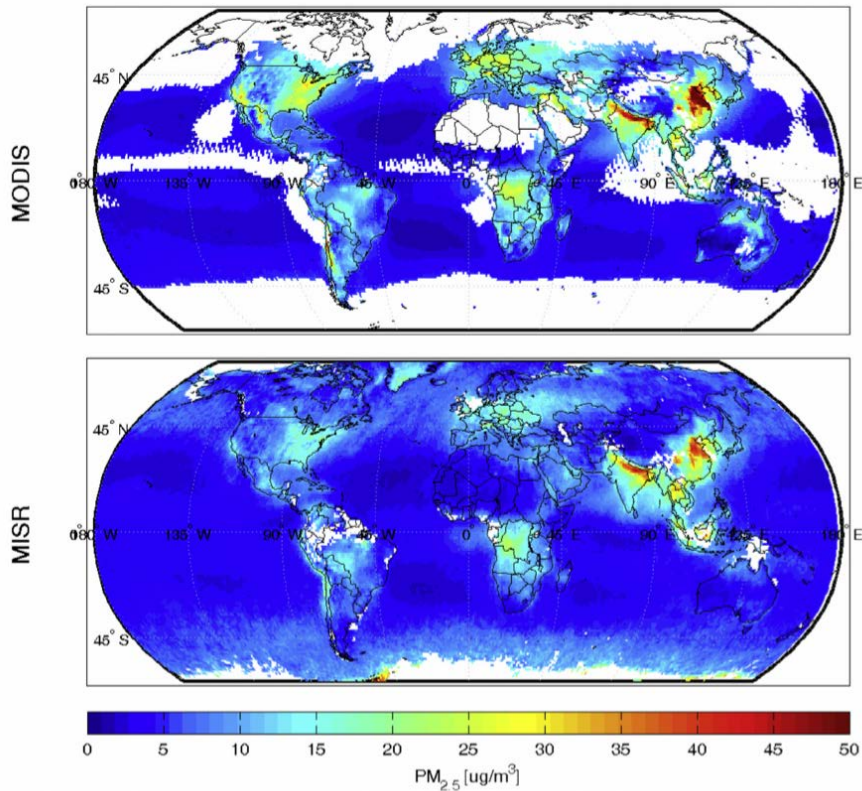


Can be improved by

- sorting PM_{2.5} by type
- including other geophysical variables in the regression (RH, region, distance from coast,...)

Satellite-derived estimates of PM_{2.5}

Modeled relationship between observed PM_{2.5} and AOD



van Donkelaar et al. (2006)

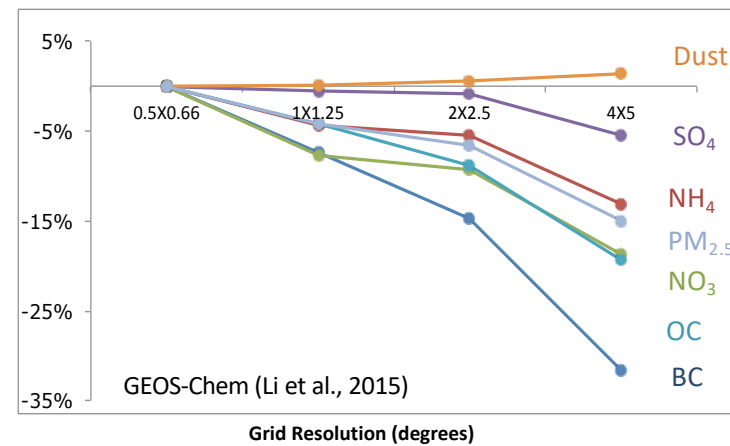
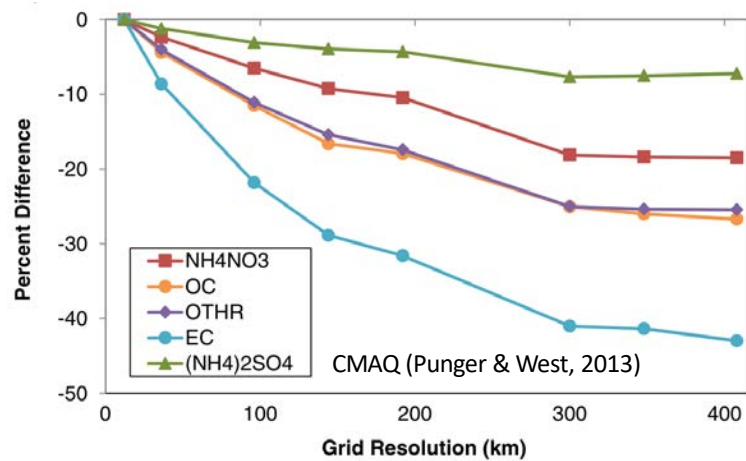
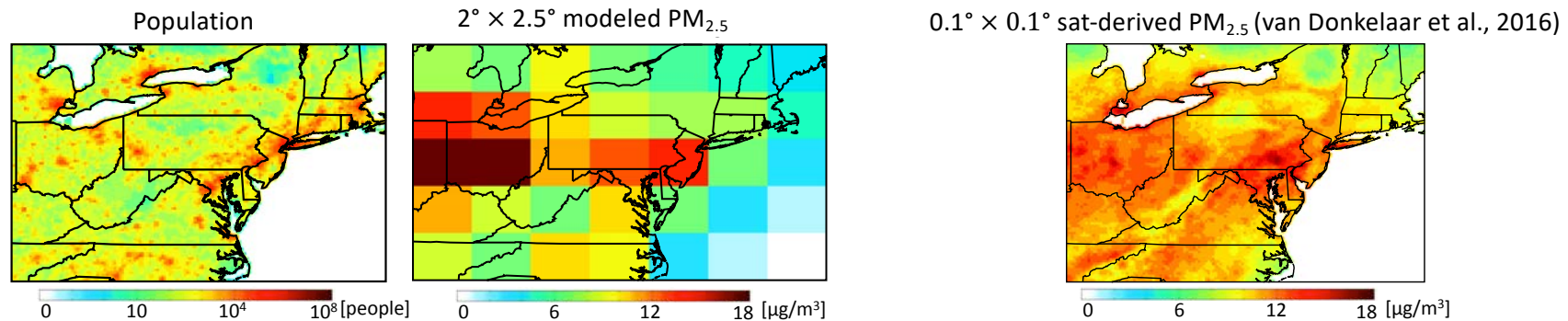
A global chemical transport model is used to relate surface PM_{2.5} to AOD

$$\text{“satellite PM}_{2.5}\text{”} = \frac{\text{model PM}_{2.5} * \text{satellite AOD}}{\text{model AOD}}$$

Provides a globally consistent dataset for global-health studies

Has evolved over the years using improved modeling, more remote sensing data...

Global models struggle to estimate exposure (concentration x population)

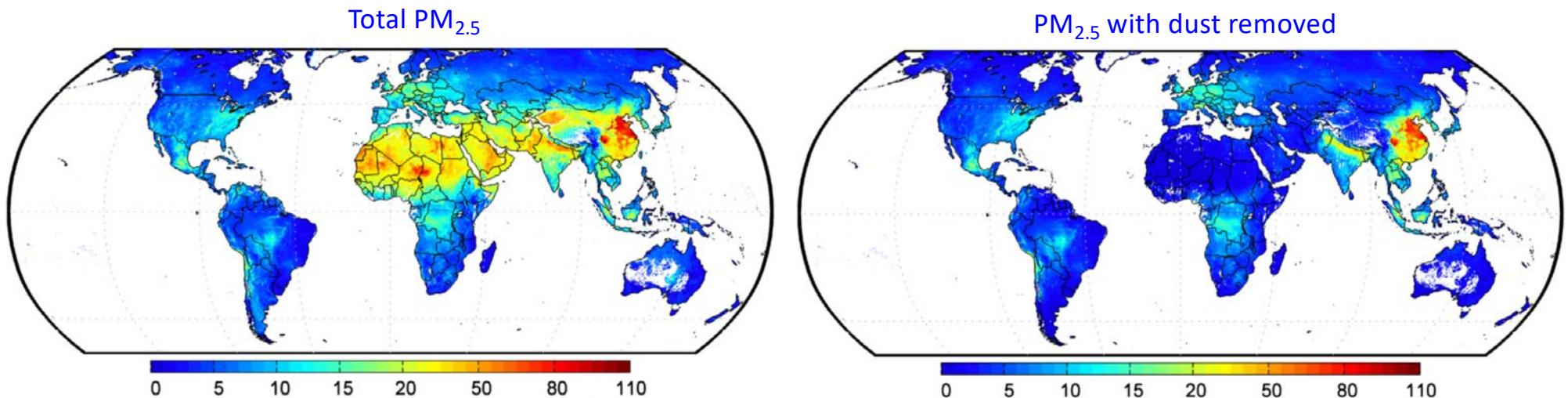


Individual components of $PM_{2.5}$ exposure underestimated by 5-40% in a $2^\circ \times 2.5^\circ$ simulation over the US. Resolution error **not** globally heterogeneous.

Global estimates of PM_{2.5} health impacts from satellite-derived exposure estimate

Hoff and Christopher (JAWMA, 2009) “Remote sensing of particulate pollution from space: have we reached the promised land?” – uncertainty in AOD-derived PM_{2.5} reaching ~30%.

Satellite derived PM_{2.5} from van Donkelaar et al. (EHP, 2010) at 0.1° x 0.1° globally:



First estimates of global PM_{2.5} health impacts from satellite-derived PM_{2.5} (van Donkelaar et al., 2010) reported in in Evans et al. (ER, 2013): 2.4 million cause-specific premature deaths in 2004

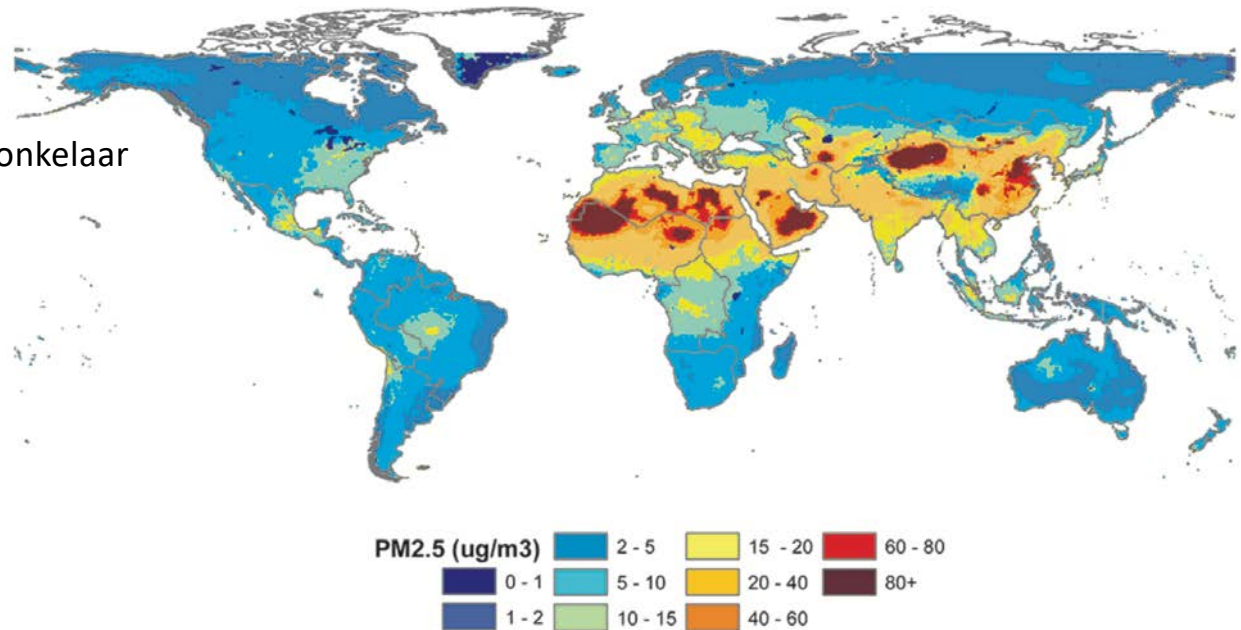
Global estimates of PM_{2.5} health impacts from satellites and models

Brauer et al. (ES&T, 2011): Average of model and satellite, globally calibrated to surface observations

TM5 = model estimated PM_{2.5}

SAT = satellite-derived PM_{2.5} from van Donkelaar et al. (2010)

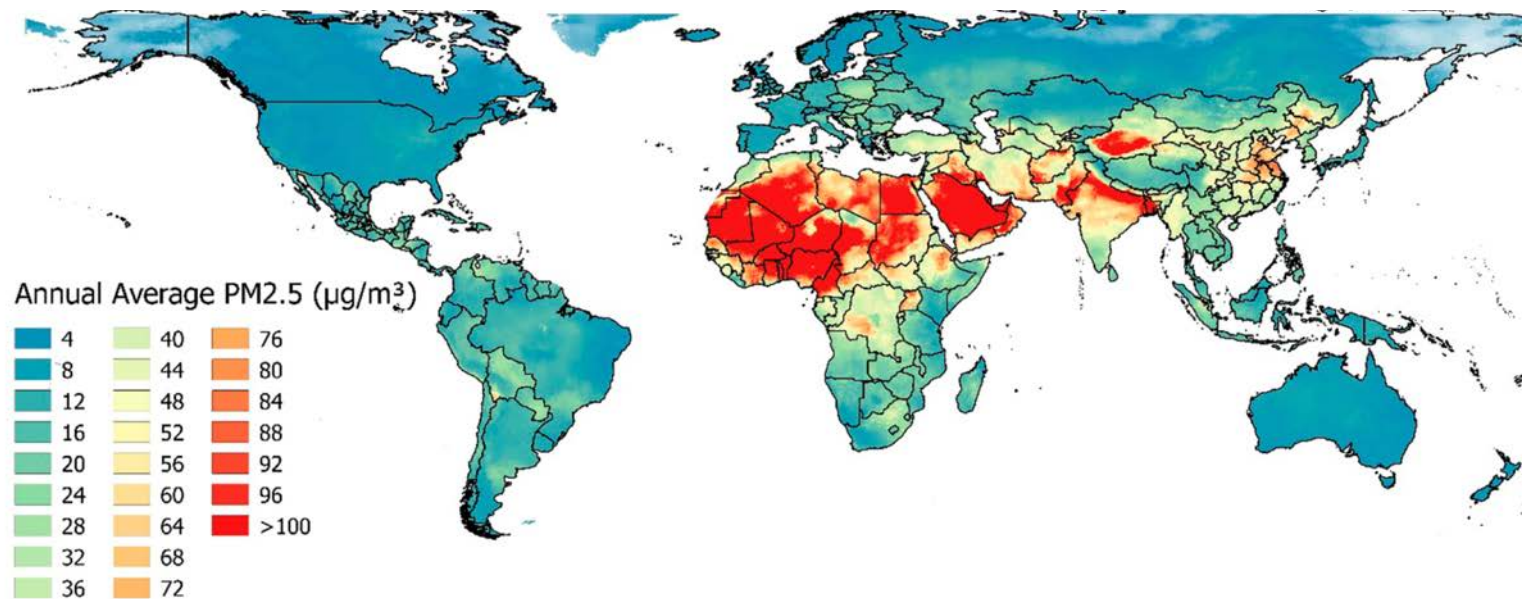
$$PM_{2.5} = 1.32 [(TM5 + SAT)/2]^{0.922}$$



This provided the exposure estimate for GBD 2010 (Lim et al., Lancet, 2012): 3.2 million (2.8 – 3.6)

Advanced fusion of satellite and model-based PM_{2.5} estimates

PM_{2.5} from Shaddick et al. (2018): hierarchical Bayesian synthesis of satellite-derived products, surface observations, geostatistical information.



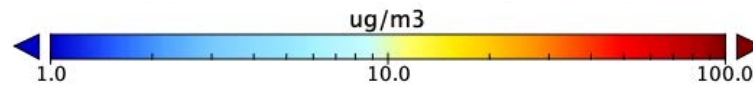
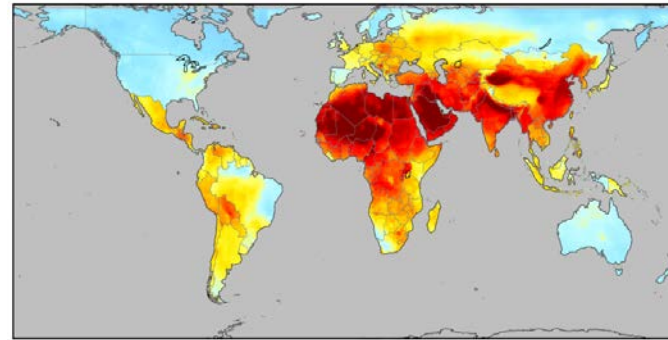
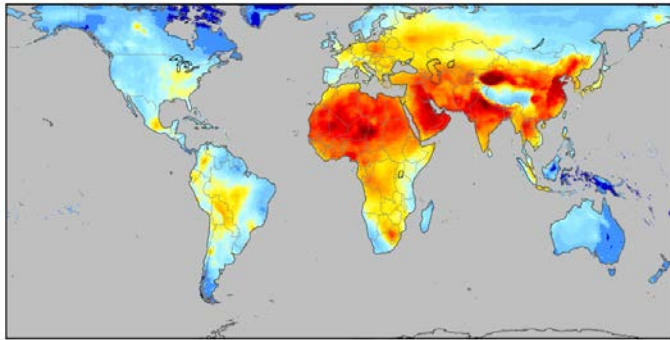
Provides exposure estimates for GBD 2015 (Cohen et al., Lancet, 2017): 4.2 (3.7 – 4.8) million premature deaths

Uncertainties in exposure estimates

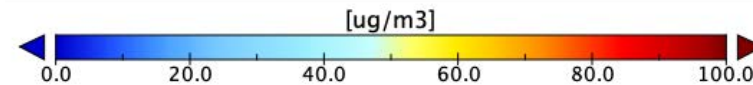
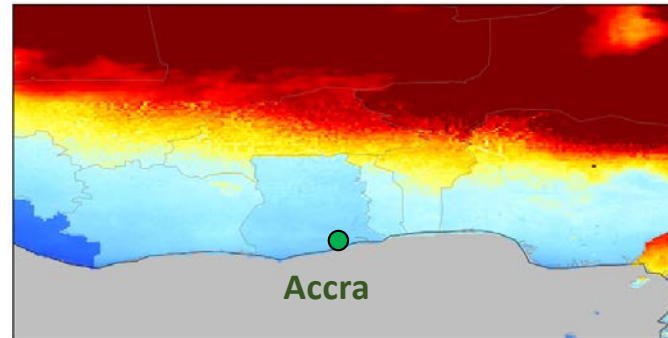
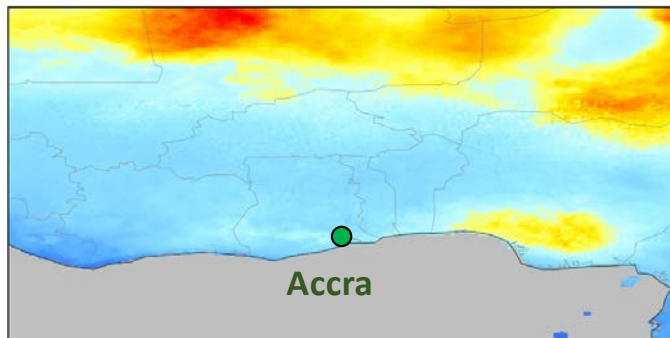
Van Donkelaar et al. (2016)

Shaddick et al. (2018)

Global

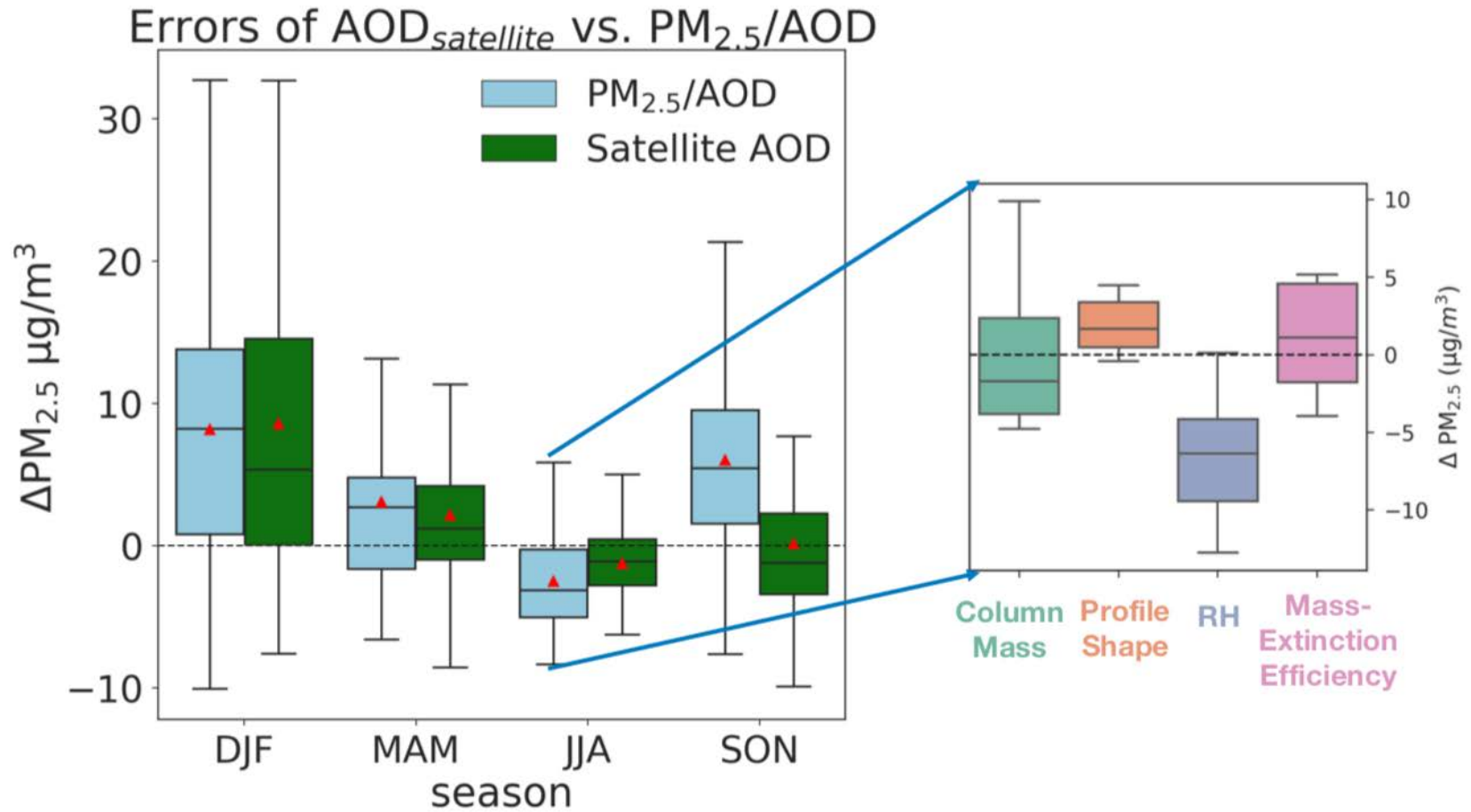


West Africa



- Large-scale similarities
- Considerable differences in regions with sparse PM_{2.5} monitoring
- See also works such as Jin et al. (2019) looking at the NE US:
 - Different spatial patterns but similar trends from 2002 to 2012

Uncertainties in exposure estimates

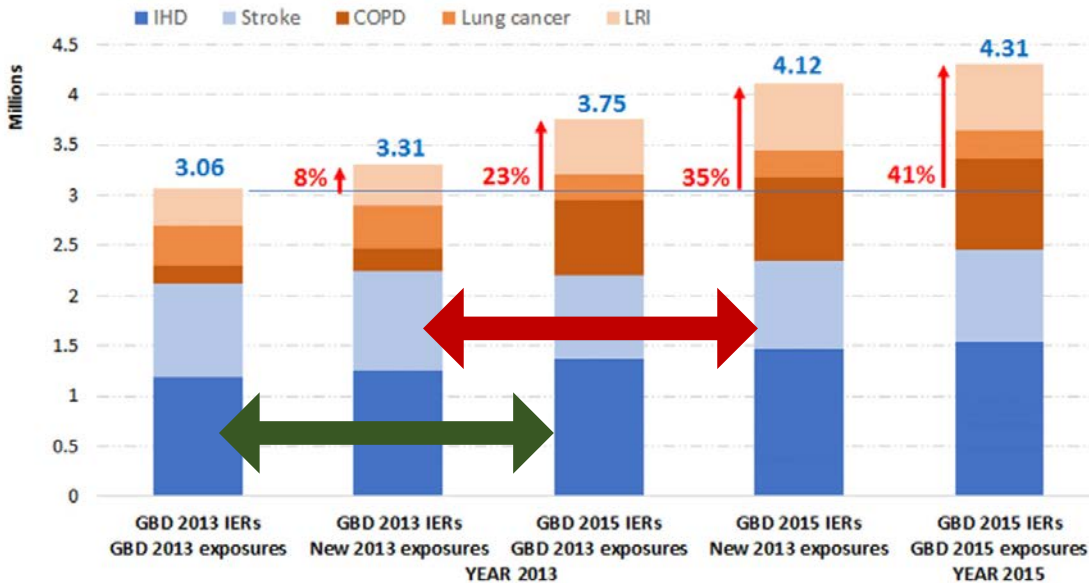


Jin et al., 2019

Additional factors impacting global PM_{2.5} health impacts

In addition to exposure, also mortality rates, population, and concentration-response relationships

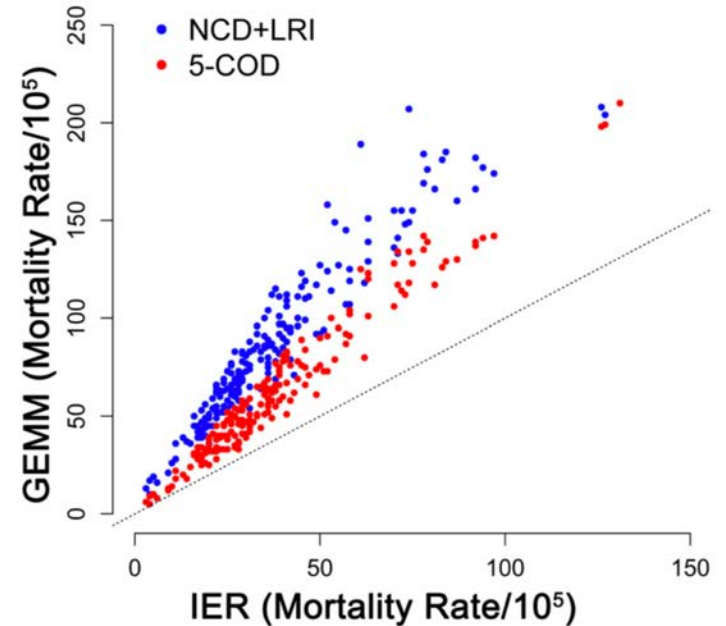
Comparison of GBD ambient AQ health impacts across the years (Ostro et al., 2018)



Δ updating exposure: 31%

Δ updating concentration-response function (IER): 12%

GEMM >> IER (Burnett et al., 2018)



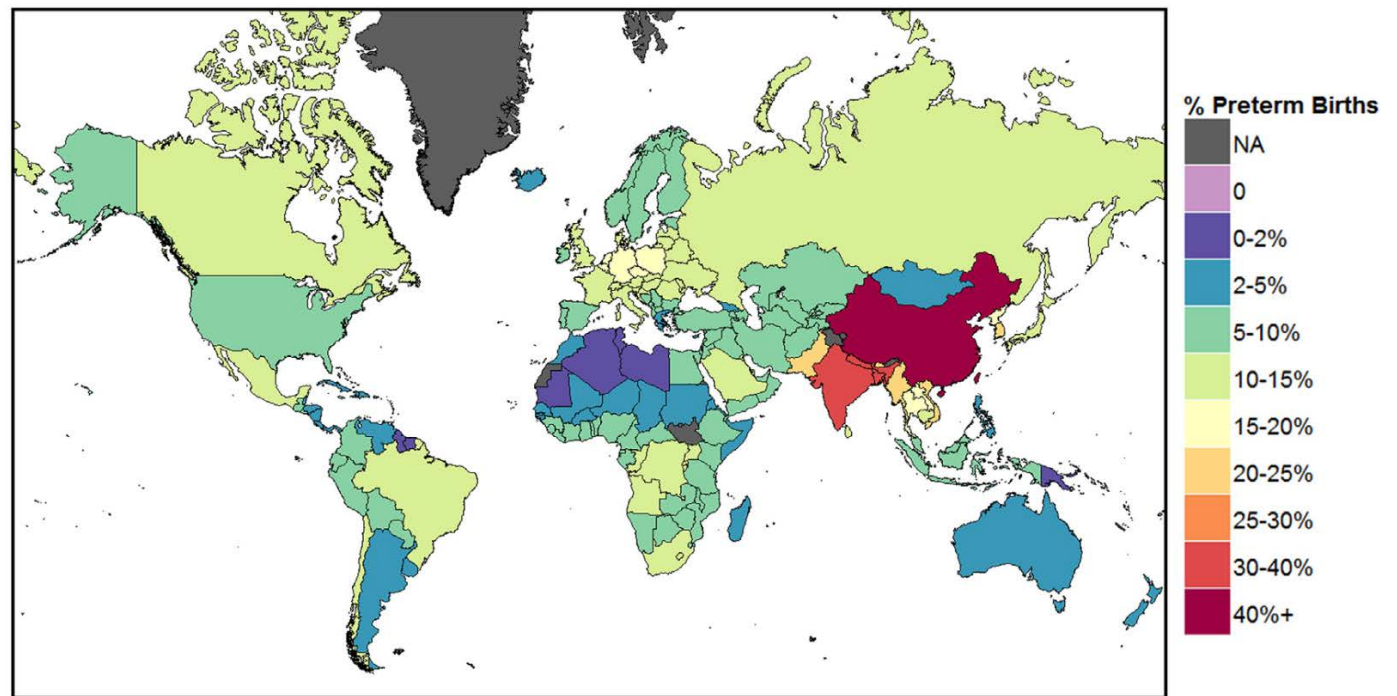
Δ concentration-response function (GEMM based on studies of exposure to high ambient concentrations): x2

Combining models and satellite-derived PM_{2.5} for improving global health impacts studies

Remote-sensing based PM_{2.5} estimates used for high-resolution exposure estimation (0.1° x 0.1°, van Donkelaar et al., 2016) Model (GEOS-Chem) used to estimate anthropogenic % of PM_{2.5} at coarse resolution (2° x 2.5°)

First global estimate of PM_{2.5} impacts on preterm births (Malley et al., Environ. Int., 2017)

$$\hat{PM}_{2.5}^{fine} = PM_{2.5,sat}^{fine} \frac{\hat{PM}_{2.5,mod}^{coarse}}{PM_{2.5,mod}^{coarse}}$$



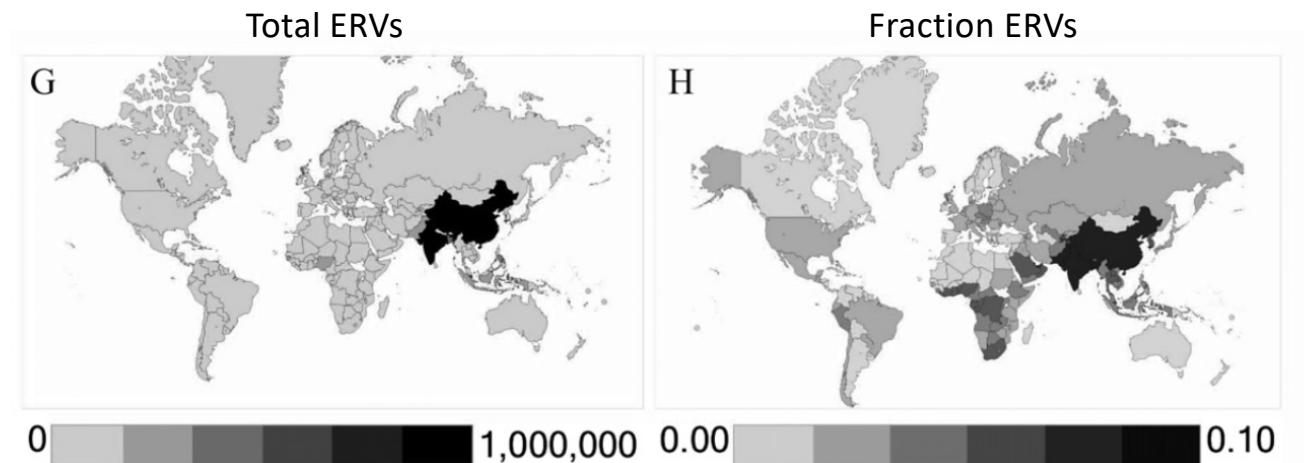
Of 15 million premature births, 1.8 – 3.5 million associated with exposure to anthropogenic PM_{2.5}.

Combining models and satellite-derived PM_{2.5} for improving global health impacts studies

Remote-sensing based PM_{2.5} estimates used for high-resolution exposure estimation (0.1° x 0.1°, van Donkelaar et al., 2016)
Model (GEOS-Chem) used to estimate anthropogenic % of PM_{2.5} at coarse resolution (2° x 2.5°)

First global estimate of PM_{2.5} impacts on asthma ERVs and incidence
(Anenberg et al., EHP, 2018)

$$\hat{PM}_{2.5}^{fine} = PM_{2.5,sat}^{fine} \frac{\hat{PM}_{2.5,mod}^{coarse}}{PM_{2.5,mod}^{coarse}}$$

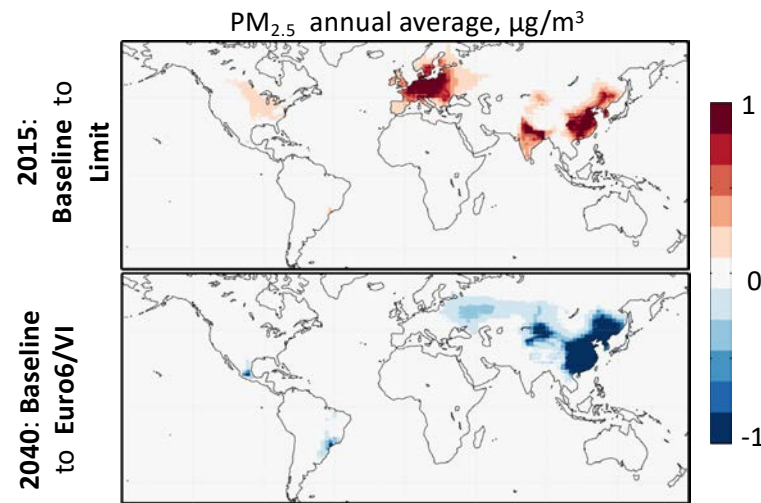


5-10 million annual asthma emergency room visits globally from PM_{2.5}, ~70% of which from anthropogenic PM_{2.5}

Combining models and satellite-derived PM_{2.5} for improving global health impacts studies from specific sectors

Remote-sensing based PM_{2.5} estimates used for high-resolution exposure estimation (0.1° x 0.1°, van Donkelaar et al., 2016)
 Model (GEOS-Chem) used to estimate % of PM_{2.5} at coarse resolution (2° x 2.5°) from specific sector or scenario

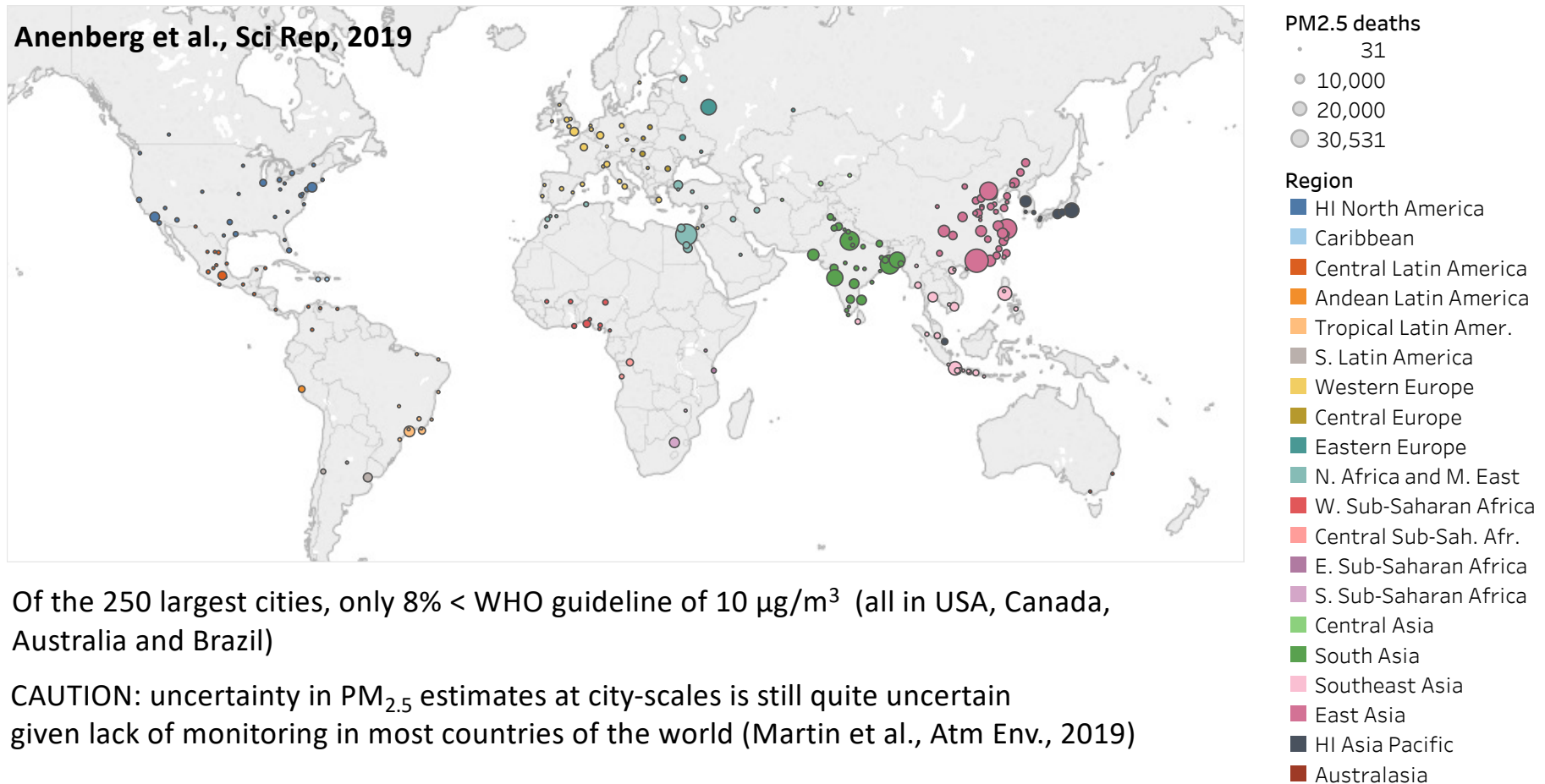
Global health impacts of transportation NOx emissions under current and future conditions (Anenberg et al., Nature, 2017)



$$\hat{PM}_{2.5}^{fine} = PM_{2.5,sat}^{fine} \frac{\hat{PM}_{2.5,mod}^{coarse}}{PM_{2.5,mod}^{coarse}}$$

Excess NOx contributed an ~38,000 additional ozone- and PM_{2.5}-related premature deaths globally in 2015; Strengthened diesel NOx regulations could avoid >170,000 annual PM_{2.5} and ozone-related premature deaths globally in 2040

PM_{2.5} associated premature deaths in cities worldwide



Of the 250 largest cities, only 8% < WHO guideline of 10 $\mu\text{g}/\text{m}^3$ (all in USA, Canada, Australia and Brazil)

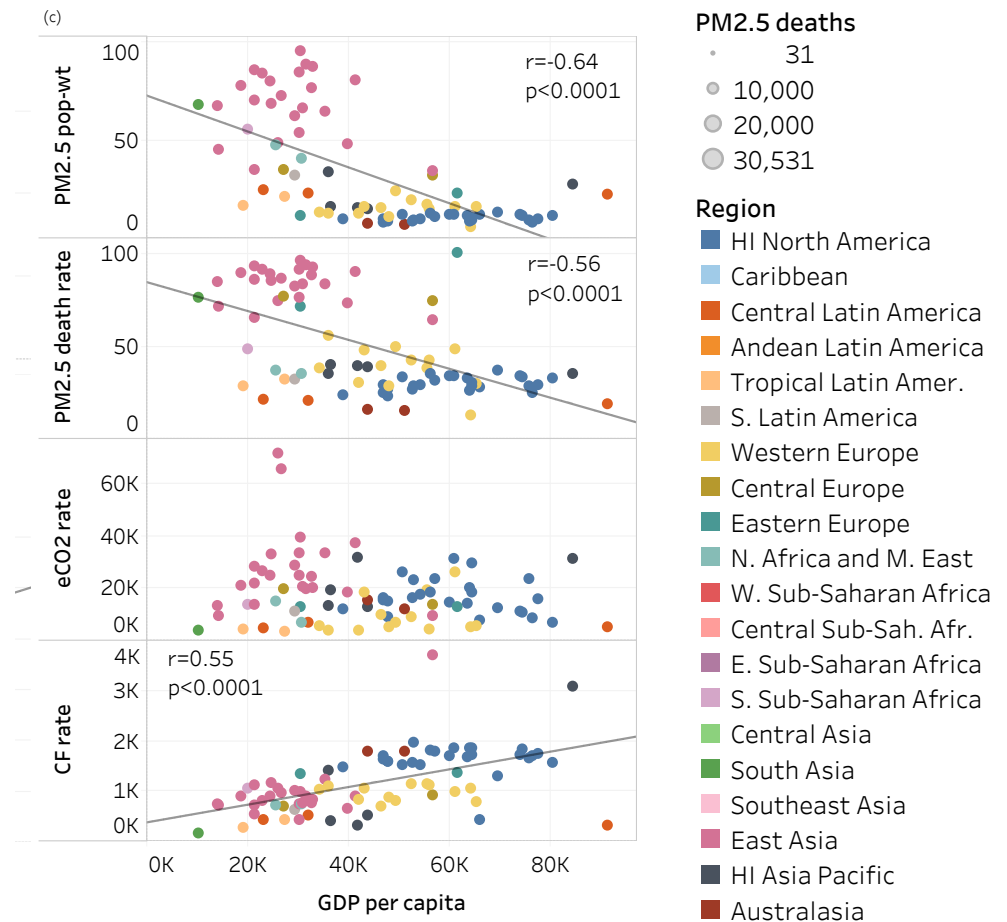
CAUTION: uncertainty in PM_{2.5} estimates at city-scales is still quite uncertain given lack of monitoring in most countries of the world (Martin et al., Atm Env., 2019)

PM_{2.5} associated premature deaths in cities worldwide

Anenberg et al., Sci Rep, 2019

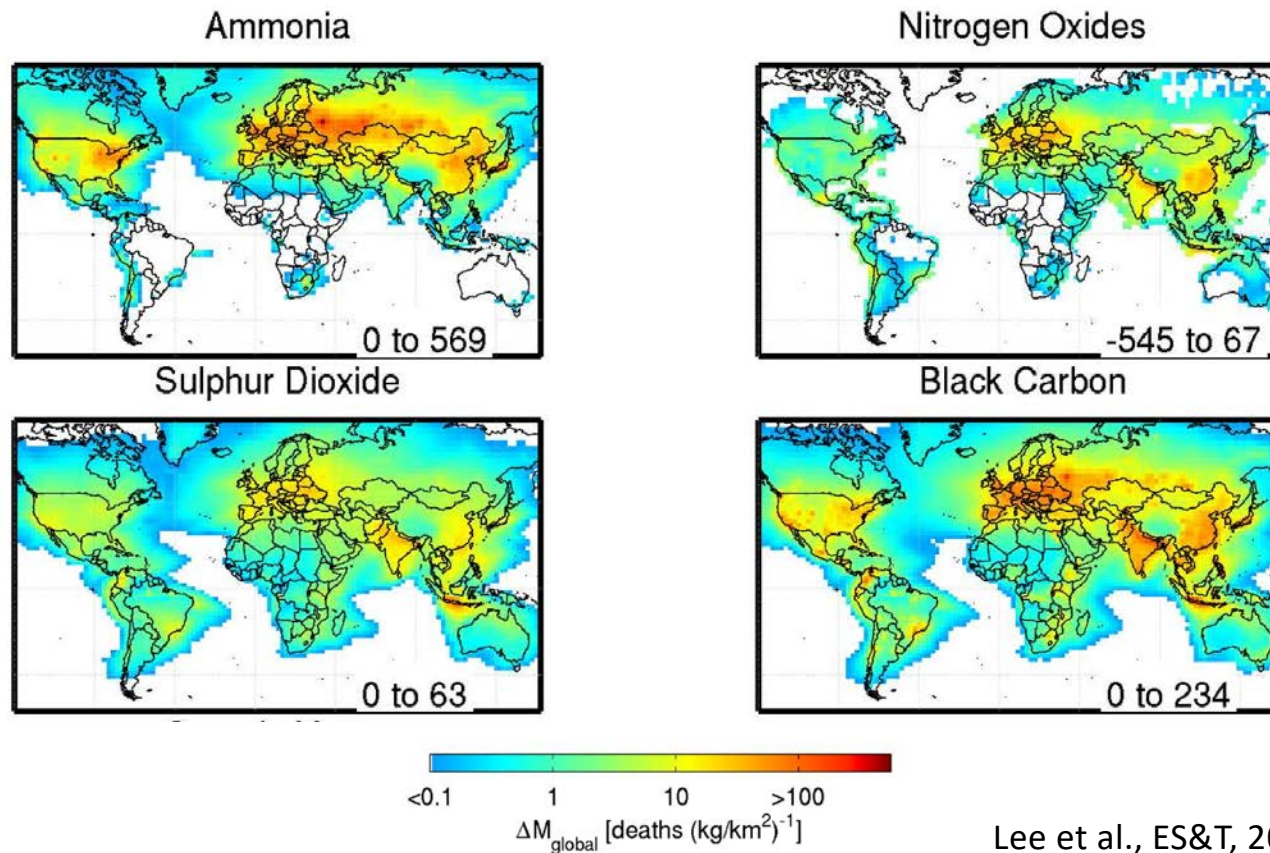
Consider trends compared to GDP per capita

- Richer countries are reducing air pollution
- Little relationship between GDP and eCO₂ per capita
- Richer countries still have largest carbon footprint (CF)
- “Reducing” PM_{2.5} without reducing CF can mean shifting rather than reducing net AQ burden
- Within regions the trends are not as clear



Combining models and remote sensing for source apportionment of global PM_{2.5} health impacts

Mortality impacts owing to addition of 1 kg/km² precursor emissions anywhere in the world:



Contributions estimated using GEOS-Chem adjoint model (Henze et al., 2007; 2009), a numerically efficient tool for estimating sensitivities with respect to many (10⁵) emissions simultaneously.

Exposure estimated using fine-scale satellite-derived PM_{2.5} (van Donkelaar et al., 2010) for downscaling and rescaling.

$$x_f = M_c \left(\frac{\bar{S}_c}{M_c} \right) \left(\frac{s_f}{\bar{S}_c} \right)$$

c = coarse (2°x2.5°)

f = fine (0.1°x0.1°)

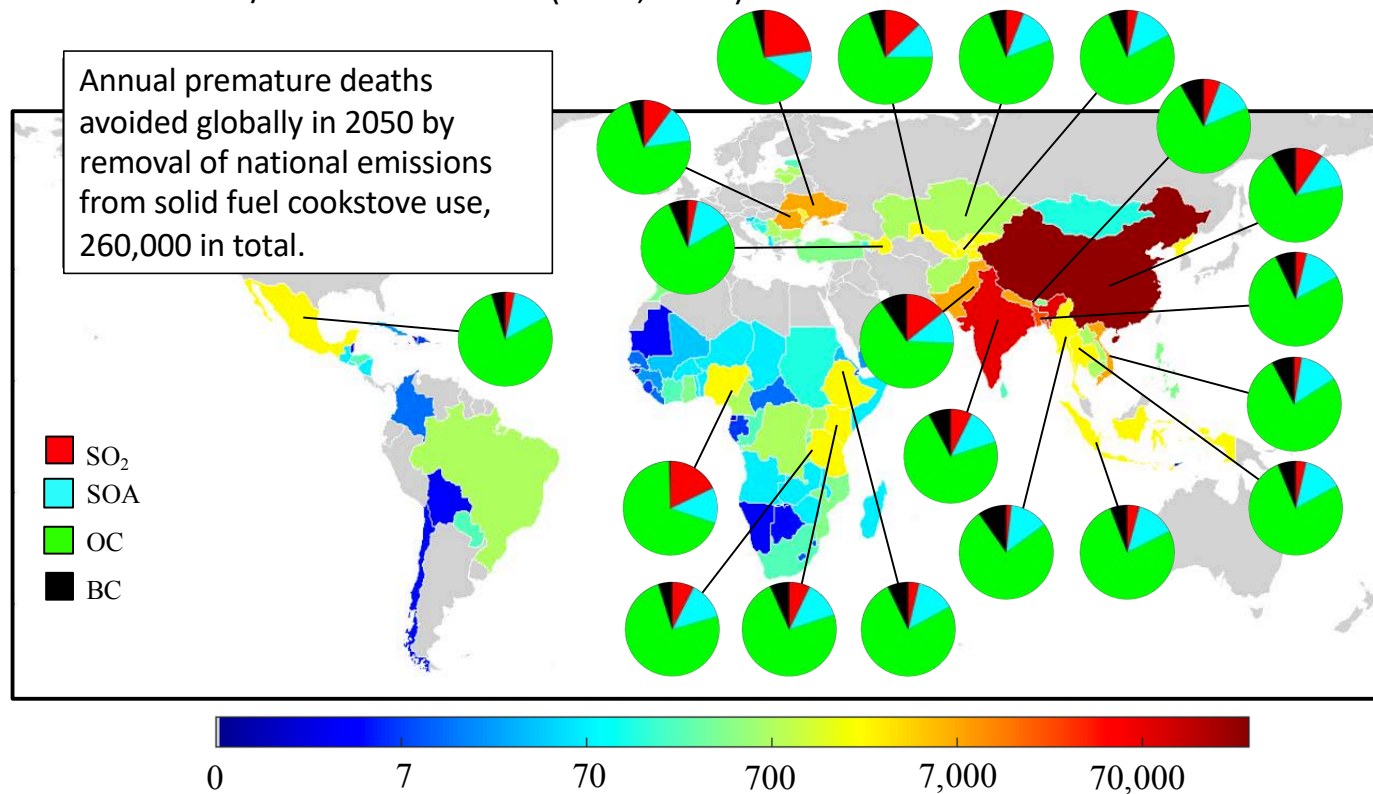
S = satellite

M = model

Lee et al., ES&T, 2015

Health impacts in 2050 via ambient air quality from country-level phase-out (by 2020) of cookstove emissions

ΔE = Removal of residential cookstove emissions (Lacey & Henze, ERL, 2015).
Combined with $\Delta M/\Delta E$ from Lee et al. (ES&T, 2015).



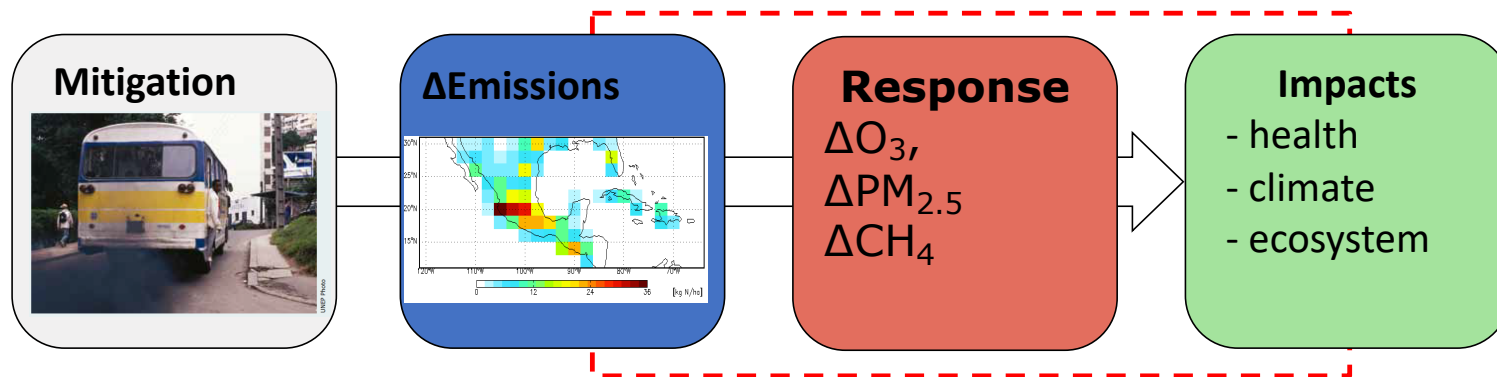
Combining atmospheric models, remote sensing, and energy system models in integrated assessment tools

CCAC Short Lived Climate Pollutant (SLCP) National Action Plan (SNAP) Toolkits

<http://new.ccacoalition.org/en/initiatives/snap>



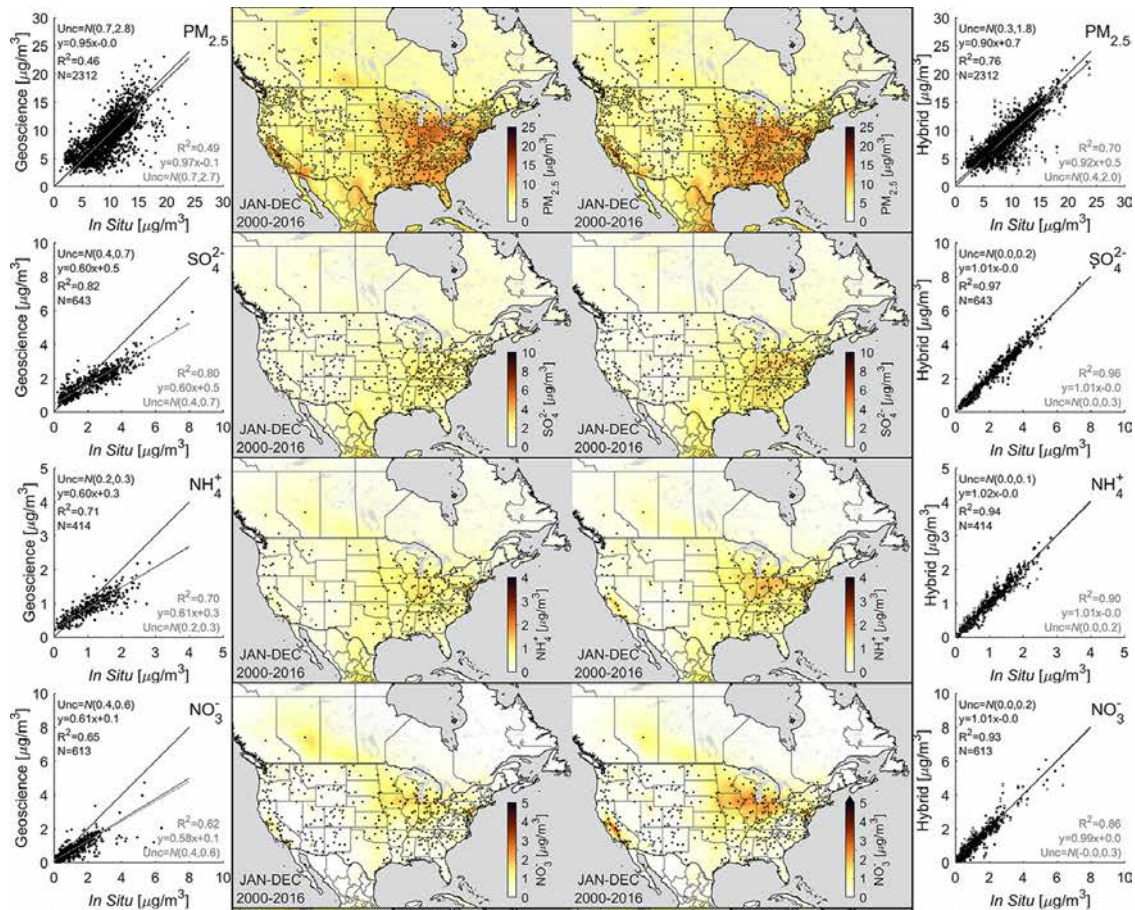
www.unep.org/ccac



- **GEOS-Chem ($2^\circ \times 2.5^\circ$) atmospheric transport and adjoint sensitivities (Henze et al., 2009)**
- **Remote sensing ($0.1^\circ \times 0.1^\circ$) $PM_{2.5}$ exposure estimation (van Donkelaar et al., 2016)**
- **Health impacts following Global Burden of Disease (GBD, e.g., Cohen et al., 2017)**
- **Transient climate impacts of short and long-lived species (Lacey et al., 2017)**
- **Cop impacts of vegetative O_3 exposure**

Features built into the **LEAP-IBC** SLCP Benefits Toolkit (Kuylenstierna et al., in prep; Nakarmi et al., submitted) used by 25 CCAC member nations (Peru, Colombia, Mexico, Chile, Ghana, Bangladesh, Togo, Nigeria,...).

Future directions



AOD-based geophysical (left) and geophysical+statistical (right) estimates of PM_{2.5} composition (van Donkelaar et al., 2019)

New and upcoming datasets to help resolve the species-specific relationship between PM_{2.5} and AOD:

- SPARTAN: network of speciated PM_{2.5} filter measurements placed at AERONET sites
<https://www.spartan-network.org/data>

- MAIA: first remote sensing mission targeting air quality health impacts, using advanced techniques to estimate aerosol composition over select areas
<https://maia.jpl.nasa.gov>

Summary

- Models are used to help derive satellite-based PM_{2.5} estimates
- Satellite-based PM_{2.5} estimates are used to help global modeling studies resolve exposure at fine scales
- Models are used to estimate fractions of PM_{2.5} exposure owing to emissions from particular sectors, locations, or changes in response to different emissions control policies
- In conjunction with spatially explicit source apportionment modeling, remote-sensing based health impacts can be coupled to other types of models
 - Energy systems model such as LEAP-IBC
 - Models of production and trade
- Future directions include further blending of geophysical and statistical approaches, incorporation of regional modeling, calibration with in situ measurements of the AOD/ PM_{2.5,k} relationship, and remote sensing observations from MAIA

Thanks!